Annexure I

**File structure for Bulk Unfreezing**

File Name : UNF\_YYYYMMDD.Tnn

File Format : Pipe Delimited file

UNF : File type

YYYYMMDD : Business Date

T : File Indicator (for data file being submitted by the member)

nn : Batch number (is a running sequence number) – valid values 01 to 99.

**Control Record Format:**

|  |  |  |  |
| --- | --- | --- | --- |
| **Field** | **Length** | **Mandatory/ Optional** | **Description** |
| Record Type | Char (2) | Mandatory | Value = 10 |
| Member Type | Char (1) | Mandatory | Value = 'M' |
| Member Code | Char (5) | Mandatory | Trading Member Code. |
| Date | Char (8) | Mandatory | Format: DDMMYYYY. Shall be same as in the file name. |
| Batch Number | Number (2) | Mandatory | Value to start with 01. Shall be same as in the file name. |
| Total No. of Records | Number (5) | Mandatory | Total number of Detail Records |

**Detail Record Format:**

| **Sr. No.** | **Field Name** | **Maximum Length** | **Minimum Length** | **Mandatory/ Optional** | **Description** | **Validations** |
| --- | --- | --- | --- | --- | --- | --- |
| 1 | Segment | Char (2) | Char (1) | Mandatory | Valid values are: For CM Segment- C For FO Segment- F For CDS Segment- X For SLB Segment- S For Debt Segment - D  For Commodity Segment-CO | Segment is Mandatory |
| 2 | Client Code | Char (10) | Char (1) | Mandatory | Client Code must be unique as entered by member at the time of placing the order. | Client Code is Mandatory |
| 3 | Required PAN | Char (10) | Char(10) | Mandatory/ Optional | Pan shall be 10 characters and shall have first 5 alphabets, next 4 numeric and the last alphabet.  "PAN\_EXEMPT" shall be entered in cases where in obtaining PAN has been exempt. | If "Reason for Unfreezing" Field contains values 2,4,6 or 7 then Required Pan is mandatory |
| 4 | Required Name | Char (85) | Char (2) | Mandatory/ Optional | Name of the Client must be in full-surname, first name, middle name. Client Name should not contain all numbers or special characters. | If "Reason for Unfreezing" Field contains values 1,4,5 or 7 then Required Name is mandatory |
| 5 | Required Category | Char (2) | Char(1) | Mandatory/ Optional |  | If "Reason for Unfreezing" Field contains values 3,5,6 or 7 then Required Category is mandatory. |
| 6 | CIN | Char(21) | Char(21) | Mandatory/ Optional | CIN is mandatory for client category 4   CIN should not contain all zeros. It should not contain any special characters  CIN\_EXEMPT shall be entered in cases where in obtaining CIN has been exempt. | If "Required Category" Field contains value "4 & 32" then, CIN is mandatory. |
| 7 | Reason for Unfreezing | Char (1) | Char (1) | Mandatory | Valid values are: 1 – For Name Change  2 – For Pan Change  3 – For Category Change  4 – For Name & Pan Change  5 – For Name & Category Change  6 – For Pan & Category Change  7 – For Name, Pan & Category Change  8- To get updated Aadhar-PAN seeding status  9- To change DOB/DOI  10- To change Name & DOB/DOI  11- To change PAN & DOB/DOI  12- To change Category & DOB/DOI  13-To change Name, PAN & DOB/DOI |  |
| 8 | Registration No. of Client | Char (25) | Char(5) | Mandatory / Optional | Mandatory for categories 22,23,25,26,28,29, 33 & 34  Registering Authority is mandatory if any of Registration No. or Place of Registration or Date of Registration is mentioned. It should not contain all numbers or special characters. | Mandatory for categories 22,23,25,26,28,29, 33 & 34  and all the four fields related to registration details are mandatory for them |
| 9 | Registering Authority | Char (60) | Char(3) | Mandatory / Optional | Mandatory for categories 22,23,25,26,28,29, 33 & 34    Place of Registration is mandatory if any of Registration No. or Registering Authority or Date of Registration is mentioned  It should not contain all numbers or special characters. | Mandatory for categories 22,23,25,26,28,29, 33 & 34 and all the four fields related to registration details are mandatory for them |
| 10 | Place of Registration | Char (25) | Char(3) | Mandatory / Optional | Mandatory for categories 22,23,25,26,28,29, 33 & 34   Date of Registration is mandatory if any of Registration No. or Registering Authority or Place of Registration is mentioned.  Date format shall be DD-MON-YYYY. | Mandatory for categories 22,23,25,26,28,29, 33 & 34  and all the four fields related to registration details are mandatory for them |
| 11 | Date of Registration | 'DD-MON-YYYY' | 'DD- MON -YYYY' | Mandatory / Optional | Mandatory for categories 22,23,25,26,28,29, 33 & 34    Registering Authority is mandatory if any of Registration No. or Place of Registration or Date of Registration is mentioned. It should not contain all numbers or special characters. | Mandatory for categories 22,23,25,26,28,29, 33 & 34  and all the four fields related to registration details are mandatory for them |
| 12 | Date of Birth / Incorporation | 'DD-MON-YYYY' | 'DD-MON-YYYY' | Mandatory | Mandatory field for all categories.  For Categories 1, 11 , 18 ,25, 26 & 31- date of birth is to be provided.  For other categories specify Date of Incorporation.  Date format shall be DD-MON-YYYY. | Mandatory field all categories |
| 13 | Flag | Char(1) | Char(1) | Mandatory | Valid value E to denote end of record |  |

**The Response File will be available in the following format**

**File Name: TMCODE\_UNF\_YYYYMMDDHHMMSS.log**

**File Format: Pipe Delimited file**

| **Sr. No.** | **Field Name** | **Maximum Length** | **Minimum Length** | **Mandatory/ Optional** | **Description** |
| --- | --- | --- | --- | --- | --- |
| 1 | Segment | Char (2) | Char (1) | Mandatory | Valid values are: For CM Segment- C For FO Segment- F For CDS Segment- X For SLB Segment- S For Debt Segment – D  For Commodity Segment-CO |
| 2 | Client Code | Char (10) | Char (1) | Mandatory | Client Code must be unique as entered by member at the time of placing the order. |
| 3 | Required PAN | Char (10) | Char(10) | Mandatory/ Optional | Pan shall be 10 characters and shall have first 5 alphabets, next 4 numeric and the last alphabet.  "PAN\_EXEMPT" shall be entered in cases where in obtaining PAN has been exempt. |
| 4 | Required Name | Char (85) | Char (2) | Mandatory/ Optional | Name of the Client must be in full-surname, first name, middle name. Client Name should not contain all numbers or special characters. |
| 5 | Required Category | Char (2) | Char(1) | Mandatory/ Optional |  |
| 6 | CIN | Char(21) | Char(21) | Mandatory/ Optional | CIN is mandatory for client category 4 & 32.   CIN should not contain all zeros. It should not contain any special characters  CIN\_EXEMPT shall be entered in cases where in obtaining CIN has been exempt. |
| 7 | Reason for Unfreezing | Char (1) | Char (1) | Mandatory | Valid values are: 1 – For Name Change  2 – For Pan Change  3 – For Category Change  4 – For Name & Pan Change  5 – For Name & Category Change  6 – For Pan & Category Change  7 – For Name, Pan & Category Change  8-to get updated Aadhar-PAN seeding status  9- To change DOB/DOI  10- To change Name & DOB/DOI  11- To change PAN & DOB/DOI  12- To change Category & DOB/DOI  13-To change Name, PAN & DOB/DOI |
| 8 | Registration No. of Client | Char (25) | Char(5) | Mandatory / Optional | Mandatory for categories 22,23,25,26,28,29, 33 & 34  Registering Authority is mandatory if any of Registration No. or Place of Registration or Date of Registration is mentioned. It should not contain all numbers or special characters. |
| 9 | Registering Authority | Char (60) | Char(3) | Mandatory / Optional | Mandatory for categories 22,23,25,26,28,29, 33 & 34  Place of Registration is mandatory if any of Registration No. or Registering Authority or Date of Registration is mentioned  It should not contain all numbers or special characters. |
| 10 | Place of Registration | Char (25) | Char(3) | Mandatory / Optional | Mandatory for categories 22,23,25,26,28,29, 33 & 34  Date of Registration is mandatory if any of Registration No. or Registering Authority or Place of Registration is mentioned.  Date format shall be DD-MON-YYYY. |
| 11 | Date of Registration | 'DD-MON-YYYY' | 'DD-MON-YYYY' | Mandatory / Optional | Mandatory for categories 22,23,25,26,28,29, 33 & 34  Registering Authority is mandatory if any of Registration No. or Place of Registration or Date of Registration is mentioned. It should not contain all numbers or special characters. |
| 12 | Date of Birth / Incorporation | 'DD-MON-YYYY' | 'DD-MON-YYYY' | Mandatory |  |
| 13 | Flag | Char(1) | Char(1) | Mandatory | Valid value E to denote end of record |
| 14 | Remarks |  |  |  | Successful Record – Record Loaded Successfully  Rejected Record – Reason for rejection |